marrickwealth

Client Service Levels

	Consulting Services	*Investment Services*	*Investment Management*	*Wealth Management*	*Private Client*
Managed Portfolio Assets (minimum)	$5M	$500K	$1M	$2M	$5M
Fees Starting at	0.50%	0.75%	1.00%	1.00%	0.75%
Relationship and Technology					
Account administrative services	✓	✓	✓	✓	✓
Institutional custodian access	✓	✓	✓	✓	✓
Asset allocation advice	✓	✓	✓	✓	✓
Tax-efficient investment advice	✓	✓	✓	✓	✓
Portfolio risk and diversification analytics	✓	✓	✓	✓	✓
Electronic account aggregation	✓	✓	✓	✓	✓
Quarterly performance reporting	✓	✓	✓	✓	✓
Portfolio Implementation					
Model portfolio with exchange-traded funds (ETFs)		✓	✓	✓	✓
Custom portfolio with institutional mutual funds			✓	✓	✓
Custom portfolio with sustainable investments			✓	✓	✓
Custom portfolio with individual stocks and bonds				✓	✓
Custom portfolio with commission-free annuities				✓	✓
Custom portfolio with alternative investments				✓	✓
Portfolio Management					
Portfolio monitoring and rebalancing		✓	✓	✓	✓
Tax-loss harvesting		✓	✓	✓	✓
Tax-optimization through asset location				✓	✓
Asset minimum waived for immediate family				✓	✓
Client-directed trading	✓				✓
Financial Guidance					
Annual review of income tax returns	✓	✓	✓	✓	✓
Preferred lending recommendations	✓	✓	✓	✓	✓
Charitable gift planning	✓	✓	✓	✓	✓
Company retirement plan investment selection	✓	✓	✓	✓	✓
Education funding analysis		✓	✓	✓	✓
Employee benefit and open enrollment advice		✓	✓	✓	✓
Basic estate recommendations			✓	✓	✓
Tax and Financial Planning					
Retirement planning				✓	✓
Income tax planning				✓	✓
Cash flow and debt planning				✓	✓
Insurance needs analysis				✓	✓
Financial decisions support				✓	✓
Real estate and private equity investment review				✓	✓
Advanced estate and legacy planning					✓

**Excluded tax and financial planning services are available at hourly rates and typically cost $3,000 - $5,000 per engagement.*